

# Guidance Document 110-26

## Inspection Violations-Suggested Sanctions

	Violation Cited	Charge <sup>1</sup>	1 <sup>st</sup> Time Cited	2 <sup>nd</sup> Time Cited
<b>Security:</b>				
1.	No lock on gate (or no gate) to prescription department	discretionary <sup>2</sup>	Allow to fix; pay reinspection fee if inspection required	\$250; pay reinspection fee, if inspection required
2.	Alarm dysfunctional	discretionary <sup>2</sup>	Allow to fix, pay reinspection fee if inspection required	Informal Conference
3.	Not using alarm	discretionary <sup>2</sup>	Informal Conference	Informal Conference
4.	Counter too low-not previously approved	discretionary <sup>2</sup>	Allow to fix; submit application for remodel and pay fee	Informal Conference
5.	Unauthorized persons have key/alarm code	discretionary <sup>2</sup>	Has knowledge of: Informal Conference Other: Allow to fix	Informal Conference
6.	Key not in sealed envelope with signature	usually PIC	Allow to fix	Informal Conference
7.	Remodel the prescription department without submitting application or being inspected	usually owner	\$250 and fix anything not in compliance; pay reinspection fee if inspection required	Informal Conference
8.	Prescription drugs kept outside the prescription department	usually PIC	Allow to fix	
<b>Records:</b>				
9.	Failure to take or maintain biennial inventory	usually PIC	\$250 and notify owner	if same PIC, Informal Conference
10.	Failure to take change of PIC inventory, incoming or outgoing	discretionary <sup>2</sup>	\$250	
11.	Theft of drugs not reported to the Board	discretionary <sup>2</sup>	present to committee for review	
12.	Failure to maintain required invoices	discretionary <sup>2</sup>	present to committee for review	
13.	Failure to maintain required records of distribution	discretionary <sup>2</sup>	present to committee for review	
14.	Failure to produce requested records within the 48 hr. window	discretionary <sup>2</sup>	present to committee for review	
<b>Operations:</b>				
15.	Failure to return PIC permit	former PIC	Allow to fix If an issue: present to committee for review	
16.	Have PIC in place but application is not submitted on time	discretionary <sup>2</sup>	\$100	
17.	Exceeds allowed pharmacist to technician ratio	discretionary <sup>2</sup>	CCA	Informal Conference
18.	Dispenses prescription without pharmacist checking	pharmacist	Informal Conference	
19.	Operating w/out permit-after 14 day grace period (charge owner)	owner	Informal Conference	
20.	Failure to submit change in pharmacy hours to Board/public	discretionary <sup>2</sup>	present to committee for review	

1. This column indicates the person usually charged for the violation, although this may change with circumstances showing responsibility by someone else.

2. Discretionary means that the decision to charge the pharmacist-in-charge, other pharmacist, and/or owner is at the discretion of the Board. The Board will have to review circumstances to charge the responsible party.

[For the above, the committee may recommend the use of a CCA when appropriate.]